

11-25-03

RCE/3635

<b>CONTINUED PROSECUTION APPLICATION (CPA)</b> <b>REQUEST TRANSMITTAL (Small Entity)</b> Submit an original, and a duplicate for fee processing. NOV 24 2003 Continuation or Divisional Applications Under 37 CFR 1.53(d)		Docket No. KWO-17702/01 <input checked="" type="checkbox"/> <b>DUPLICATE</b> (Check box if applicable)
First Named Inventor	Examiner	Group/Art Unit
Harald Apfelthaler	Yvonne Michele Horton	3635

Address to:  
 Mail Stop CPA  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

This is a request for filing a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/839,001 filed on April 20, 2001 and entitled:

**TERMINAL PLUG FOR SCREWING A MUNTIN BAR TO A SPACER FRAME OF IN PARTICULAR AN INSULATED GLASS WINDOW**

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☒ Small Entity Status:
  - a. ☐ A small entity statement is enclosed.
  - b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
6. ☒ The fee for this application is calculated as follows:

**RECEIVED**  
 DEC 02 2003  
**GROUP 3600**

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
T tal Claims	20	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$43.00	\$43.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					0
BASIC FEE					\$385.00
TOTAL FILING FEE					\$428.00

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)**  
**(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))**

7. ☒ The Director is hereby authorized to credit overpayments or charge the following fees to Deposit  
Account No. 07-1180

- ☒ fees required under 37 C.F.R. 1.16.
- ☒ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☒ A check in the amount of 428 is enclosed.

9. ☐ An Information Disclosure Statement (IDS) is enclosed:

- a. ☐ PTO-1449
- b. ☐ Copies of IDS Citations

10. ☐ Also enclosed:

11. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Small Entity)**  
**(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))**

**NOTES**

**Submit an original, and a duplicate for fee processing.**

**FILING QUALIFICATIONS:** The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: November 24, 2003

  
Signature

Mark D. Schneider

Typed or printed name

43,906

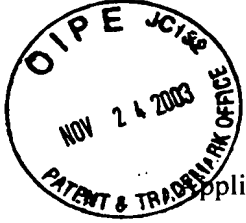
Registration Number (if applicable)

- ☐ Inventor(s)  
☐ Assignee of complete interest  
☒ Attorney or agent of record

cc:

Serial No. 09/839,001  
Reply to Office Action of May 22, 2003

Attorney Docket No. KWO-17702/01



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12/C  
12/12/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Harald Apfelthaler

Serial No.: 09/839,001

Group Art Unit: 3635

Filed: April 20, 2001

Examiner: Yvonne Michele Horton

For: TERMINAL PLUG FOR SCREWING A MUNTIN BAR TO A SPACER  
FRAME OF IN PARTICULAR AN INSULATED GLASS WINDOW

**PRELIMINARY AMENDMENT**

Mail Stop CPA  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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DEC 02 2003  
**GROUP 3600**

Dear Sir:

In response to the Office Action mailed May 22, 2003, please amend the application  
as follows: